SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

| OMB Number: | 3235-0362 | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| hours per response: | 1.0 | | | | | | | |

| Form 4 Transaction | ons Reported. | File | | | e Securities Exchange Act of 1934 ment Company Act of 1940 | | | | | | |
|--|---------------|--------------|--|-------------------|--|--|---|-----------------|--------------------------|--|--|
| 1. Name and Address of Reporting Person [*] <u>Tritch Stephen R</u> | | | 2. Issuer Name Koppers H | | | | 5. Relationship of Reporting Person(s) to Iss (Check all applicable) X Director 10% Ow | | | | |
| (Last) 436 SEVENTH A | | Middle) | 3. Statement for 12/31/2017 | Issuer's Fisca | al Year Ended (Month/Day/Year) | | Officer (give title below) | | ther (specify elow) | | |
| (Street) PITTSBURGH (City) | | 5219 Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date | | | 2A. Deemed Execution Date, | 3. Transaction | 4. Securities Acquired (A) or Dispos Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities | 6. Ownership | 7. Nature of Indirect | | |

| | (Month/Day/Year) | if any (Month/Day/Year) | Code (Instr. | | | | Beneficially Owned at end of | Form: Direct (D) or | Beneficial Ownership |
|--------------|------------------|----------------------------|--------------|--------|---------------|--------|---|----------------------------|-------------------------|
| | | (Month/Day/real) | 5) | Amount | (A) or (D) | Price | Issuer's Fiscal Year (Instr. 3 and 4) | Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock | 03/08/2017 | | G | 4,193 | D | \$0.00 | 23,893 | D | |
| Common Stock | 11/17/2017 | | G | 476 | D | \$0.00 | 23,417 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | of Exp Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Dat | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|---|---|--|--|---|-------|---|--|--|--|
| | | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |

Explanation of Responses:

Remarks:

/s/ Steven R. Lacy, Attorney-

in-Fact

01/24/2018

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date